

# Explicit Representations of Faber Polynomials for $m$ -Cusped Hypocycloids

Matthew X. He

*Department of Mathematics, Nova Southeastern University, Ft. Lauderdale, Florida 33314*  
E-mail: hem@polaris.acast.nova.edu

*Communicated by Peter B. Borwein*

Received February 22, 1994; accepted in revised form November 28, 1995

Let  $F_n(z)$  be Faber polynomials associated with an  $m$ -cusped hypocycloid. We derive an explicit algebraic expression for  $F_n(z)$  via a Cauchy integral formula. Using a differential equation of  $F_n(z)$ , we precisely represent  $F_n(z)$  in terms of generalized hypergeometric functions. © 1996 Academic Press, Inc.

## 1. INTRODUCTION

Let  $E$  be any closed continuum in the extended complex plane  $\bar{C}$ . The Riemann mapping theorem asserts that there exists a conformal mapping  $w = \Phi(z)$  of  $\bar{C} \setminus E$  onto the exterior of a circle  $|w| = \rho_E$  in the  $w$ -plane. For a unique choice of  $\rho_E$ , we can insist that

$$\Phi(\infty) = \infty, \quad \Phi'(\infty) = 1$$

so that, in a neighborhood of infinity,

$$\Phi(z) = z + a_0 + \frac{a_1}{z} + \frac{a_2}{z^2} + \dots \quad (1)$$

The polynomial part of  $\Phi(z)^n$ , denoted by  $F_n(z) = z^n + \dots$ , is called the *Faber polynomial* of degree  $n$  generated by set  $E$ .

Let

$$\Psi(w) = w + b_0 + \frac{b_1}{w} + \frac{b_2}{w^2} + \dots \quad (2)$$

be the inverse function of  $w = \Phi(z)$ . Thus  $\Psi(w)$  maps the domain  $|w| > \rho_E$  conformally onto  $\bar{C} \setminus E$ . Faber [4] proved that

$$\frac{\Psi'(w)}{\Psi(w) - z} = \sum_{n=0}^{\infty} \frac{F_n(z)}{w^{n+1}}, \quad |w| > \rho_E, z \in E. \quad (3)$$

Consider the mapping function

$$\Psi(w) := w + \frac{1}{(m-1)w^{m-1}}, \quad m = 2, 3, \dots,$$

which is conformal in exterior of the circle  $|w| = \rho_E$ . The boundary of the associated compact set

$$E = H_m := \bar{C} \setminus \{z \in C : z = \Psi(w), |w| > \rho_E\} \quad (4)$$

is a hypocycloid with a parametric equation

$$z = e^{i\theta} + \frac{1}{m-1} e^{-i(m-1)\theta}, \quad m = 2, 3, \dots, 0 \leq \theta < 2\pi.$$

The zeros and local extreme points of Faber polynomials associated with the hypocycloid were studied recently in [5] and [2]. In this note, we determine an algebraic expression of Faber polynomial. In addition, we represent  $F_n(z)$  in terms of generalized hypergeometric functions. Our results can be considered as generalizations of well-known properties of the representations of Chebyshev polynomial.

We define a generalized hypergeometric function by

$${}_pF_q \left[ \begin{matrix} a_1, a_2, \dots, a_p; \\ b_1, b_2, \dots, b_q; \end{matrix} x \right] = \sum_{k=0}^{\infty} \frac{(a_p)_k}{(b_q)_k} \frac{x^k}{k!},$$

in which no denominator parameter  $b_j$  is allowed to be zero or a negative integer. If any numerator parameter  $a_i$  is a zero or a negative integer, the series terminates.

We shall present in Section 2 an algebraic formula for Faber polynomials of hypocycloid. In Section 3 we give a hypergeometric representation for Faber polynomials associated with Steiner hypocycloid  $H_3$ . We represent in Section 4 Faber polynomials for hypocycloid by generalized hypergeometric functions. Finally, in Section 5, we express the density function of zeros of Faber polynomials as a generalized hypergeometric function.

2. AN ALGEBRAIC FORMULA

The closed algebraic form of Faber polynomial associated with  $H_m$  was known in the case when  $m=2, 3$ . In this section we use Cauchy integral formula to derive an explicit formula for all  $m$ .

**THEOREM 1.** *Let  $F_n(z)$  be the Faber polynomial of  $H_m$  of degree  $n$ . Then for  $n \geq 1$ ,*

$$F_n(z) = n \sum_{j=0}^{[n/m]} (-1)^j \frac{\Gamma(n - mj + j)}{\Gamma(n - mj + 1)(m - 1)^j j!} z^{n - mj}, \tag{5}$$

where

$$\left[ \frac{n}{m} \right] = \begin{cases} \frac{n}{m}, & n = 0 \pmod{m}, \\ \frac{n - k}{m}, & n = k \pmod{m}, k = 1, 2, \dots, m - 1. \end{cases}$$

*Proof.* As we have noted in Section 1 that for  $m=2, 3, \dots$ ,

$$z = \Psi(w) = w \left( 1 + \frac{1}{(m - 1)w^m} \right)$$

maps  $|w| > \rho_E$  conformally onto  $\bar{C} \setminus H_m$ . Let  $\Phi(z)$  be its inverse. It follows from the definition of Faber polynomials that  $F_n(z)$  generated by  $H_m$  is given by

$$F_n(z) = \sum_{j=0}^n c_{n-j} z^{n-j},$$

where  $c_{n-j}$  is the Laurent coefficient in the expansion of  $\{\Phi(z)\}^n$ , that is, for  $j=0, 1, 2, \dots, n$

$$c_{n-j} = \int_{|z|=R} \frac{\{\Phi(z)\}^n}{z^{n-j+1}} dz,$$

with  $R$  chosen sufficiently large so that  $H_m$  is contained in the interior of the region bounded by the circle  $|z|=R$ . Alternatively, using substitution  $z = \Psi(w)$ , we obtain for  $j=0, 1, 2, \dots, n$ ,

$$c_{n-j} = \int_{|w|=r > 1} \frac{w^n \Psi'(w)}{\{\Psi(w)\}^{n-j+1}} dw,$$

By the symmetry of  $H_m$ , we see that  $\Psi(w)$  is an  $m$ -fold symmetric mapping function. It is easy to see that  $c_{n-j} = 0$  if  $j \not\equiv 0 \pmod{m}$  for  $j = 0, 1, 2, \dots, [n/m]$ . Thus,  $F_n(z)$  has the following form:

$$F_n(z) = \sum_{j=0}^{[n/m]} c_{n-mj} z^{n-mj}.$$

By Cauchy's Theorem, we see that the coefficients  $c_{n-mj}$ 's are the same as those of  $1/w$  in the expansion of  $w^n \Psi'(w) / [\Psi(w)]^{n-mj+1}$ .

$$\begin{aligned} \frac{w^n \Psi'(w)}{[\Psi(w)]^{n-mj+1}} &= w^{mj-1} \left(1 - \frac{(m-1)}{(m-1)w^m}\right) \left(1 + \frac{1}{(m-1)w^m}\right)^{-(n-mj+1)} \\ &= w^{mj-1} \left(1 + \frac{1}{(m-1)w^m}\right)^{-(n-mj+1)} \\ &\quad - w^{mj-m-1} \left(1 + \frac{1}{(m-1)w^m}\right)^{-(n-mj+1)}. \end{aligned}$$

Noticing that for  $|w| > \rho_E$ , we have

$$\left(1 + \frac{1}{(m-1)w^m}\right)^{-(n-mj+1)} = \sum_{i=0}^{\infty} (-1)^i \binom{n-mj+1}{i} \frac{w^{-mi}}{(m-1)^j i!},$$

where

$$\binom{\alpha}{i} = \alpha(\alpha+1)(\alpha+2)\cdots(\alpha+i-1), \binom{\alpha}{0} = 1, \binom{\alpha}{-1} = 0.$$

Thus, the coefficient of  $1/w$  is given by

$$\begin{aligned} c_{n-mj} &= (-1)^j \frac{1}{(m-1)^j j!} \binom{n-mj+1}{j} - (-1)^{j-1} \frac{(m-1)^{1-j}}{(j-1)!} \binom{n-mj+1}{j-1} \\ &= (-1)^j \frac{n}{(m-1)^j j!} (n-mj+1)(n-mj+2)\cdots(n-mj+j-1) \\ &= (-1)^j \frac{n\Gamma(n-mj+j)}{(m-1)^j \Gamma(n-mj+1)j!}. \end{aligned}$$

Thus we have (5).

3. STEINER HYPOCYCLOID  $H_2$

In this section, we present explicitly the Faber polynomials associated with Steiner hypocycloid, that is  $m = 3$ , in terms of hyperheometric functions. The extension for all  $m$ 's will be given in Section 4.

**THEOREM 2.** *Let  $F_n(z)$  be the Faber polynomial of  $H_2$  of degree  $n$ . Then*

$$F_{3n} \left( \frac{8}{27} z^3 \right) = (-1)^n \frac{3}{2^n} {}_3F_2 \left[ \begin{matrix} -n, \frac{n}{2}, \frac{n+1}{2}; \\ \frac{1}{3}, \frac{2}{3}; \end{matrix} ; \frac{8}{27} z^3 \right], \quad (6)$$

$$F_{3n+1} \left( \frac{8}{27} z^3 \right) = (-1)^n \frac{3n+1}{2^n} z {}_3F_2 \left[ \begin{matrix} -n, \frac{n+1}{2}, \frac{n+2}{2}; \\ \frac{2}{3}, \frac{4}{3}; \end{matrix} ; \frac{8}{27} z^3 \right], \quad (7)$$

$$F_{3n+2} \left( \frac{8}{27} z^3 \right) = (-1)^n \frac{(3n+1)(n+1)}{2^{n+1}} z^2 {}_3F_2 \left[ \begin{matrix} -n, \frac{n+2}{2}, \frac{n+3}{2}; \\ \frac{3}{3}, \frac{6}{3}; \end{matrix} ; \frac{8}{27} z^3 \right]. \quad (8)$$

*Proof.* For  $m = 3$ ,  $F_n(z)$  satisfies the third order linear differential equations [5]

$$\left[ \left( \frac{27}{8} - z^3 \right) D^3 - \frac{9}{2} z^2 D^2 + \frac{3n(n+1) - 10}{4} z D + \frac{n^2(n+3)}{4} \right] F_n(z) = 0, \quad (9)$$

where  $D = d/dz$  is a differential operator. We now consider the case when  $n \equiv 0 \pmod{3}$ . Let  $x = (\frac{2}{3}z)^3$  and  $Y(x) = F_n((\frac{2}{3}z)^3)$ , then the equation (9)

becomes a well-known Fuchian type of differential equation with regular singularities at  $x=0, 1$ , and at infinity,

$$\left[ x^3(1-x) D^3 + \left( 2x - \frac{7}{2} x^2 \right) D^2 + \left( \frac{2}{9} + \frac{n(n+1) - 18}{12} x \right) D + \frac{n^2(n+3)}{108} \right] Y(x) = 0. \quad (10)$$

Next we compare the equation (10) with the following type of Fuchian differential equation

$$\left[ \theta \prod_{j=1}^2 (\theta + b_j - 1) - x \prod_{i=1}^3 (\theta + a_i) \right] Y(x) = 0, \quad (11)$$

where the differential operator  $\theta = xD$ . The solution to this differential equation can be expressed as a generalized hypergeometric function [6]

$$Y(x) = {}_3F_2 \left[ \begin{matrix} a_1, a_2, a_3; \\ b_1, b_2; \end{matrix} x \right]. \quad (12)$$

In order to determine explicitly the parameters  $a_1, a_2, a_3$  and  $b_1, b_2$ , we write the equation (12) as the following form

$$\begin{aligned} & [x^2(1-x) D^3 + [(b_1 + b_2 + 1)x - (a_1 + a_2 + a_3 + 3)x^2] D^2 \\ & + [b_1 b_2 - (a_1 a_2 + a_1 a_3 + a_2 a_3) + (a_1 + a_2 + a_3 + 1)x] D \\ & - a_1 a_2 a_3] Y(x) = 0. \end{aligned} \quad (13)$$

By comparing (10) and (13), we have the following system of equations:

$$a_1 + a_2 + a_3 + 3 = \frac{7}{2}$$

$$a_1 a_2 + a_1 a_3 + a_2 a_3 + a_1 + a_2 + a_3 + 1 = \frac{-n(n+1)}{12} + \frac{3}{2}$$

$$a_1 a_2 a_3 = \frac{-n^2(n+3)}{108}$$

$$b_1 + b_2 + 1 = 2$$

$$b_1 b_2 = \frac{2}{9}.$$

Solving the system, we find

$$a_1 = -\frac{n}{3}, \quad a_2 = \frac{n}{6}, \quad a_3 = \frac{n+3}{6},$$

and

$$b_1 = \frac{1}{3}, \quad b_2 = \frac{2}{3}.$$

Next we evaluate  $F_n(z)$  from (5) at  $z=0$ ,

$$F_n(0) = (-1)^n \frac{3}{2^n}. \tag{14}$$

From the relations between  $Y(x)$  and  $F_n(z)$ , as well as  $x$  and  $z$ , Equation (6) is an immediate consequence of (12) and (14). Since the proofs of (7) and (8) are similar to the proof of (6), we omit them.

#### 4. HYPOCYCLOID $H_m$

In this section, we generalize the 3-cusped hypocycloid into  $m$ -cusped hypocycloid. Faber polynomials for  $m$ -hypocycloid are represented in terms of generalized hypergeometric functions with parameters  $(m, m-1)$ .

**THEOREM 3.** *For  $k=0, 1, 2, \dots, m-1$ , Faber polynomial associated with  $H_m F_{mn+k}(z)$  has the following generalized hypergeometric representation,*

$$F_{mn+k} \left( \left( \frac{m-1}{m} z \right)^m \right) = c_{mn+k} z^k {}_mF_{m-1} \left[ \begin{matrix} a_1, a_2, \dots, a_m; \\ b_1, b_2, \dots, b_{m-1}; \end{matrix} \left( \frac{m-1}{m} z \right)^m \right], \tag{15}$$

where  $c'_{mn+k}$  s,  $a_i$ 's and  $b_i$ 's are constants depend only on  $k, m, n$ .

*Proof.* It was showed in [5] that  $F_n(z)$  satisfies an  $m$ th order linear differential equations [5]

$$\left[ \frac{1}{m-1} D^m + \frac{1}{m^m} (n-zD) \prod_{k=0}^{m-2} (n+mk+(m-1)zD) \right] F_n(z) = 0,$$

where  $D = d/dz$  is a differential operator. Using the relation [6, pp. 25–26]

$$\prod_{i=1}^p (zD + d_i) = \sum_{r=0}^p d_{p,r} z^r D^r, \quad (16)$$

where

$$d_{p,r} = \frac{(-1)^r}{r!} \sum_{s=0}^r \frac{(-r)_s}{s!} \prod_{i=1}^p (r + d_i),$$

we can write (16) as

$$\left[ \left[ 1 - \left( \frac{m-1}{m} z \right)^m \right] D^m + \left( \frac{m-1}{m} \right)^m \sum_{r=1}^{m-1} g_r z^r D^r + \left( \frac{m-1}{m} \right)^m n g_0 \right] F_n(z) = 0. \quad (17)$$

Now we let

$$x = \left( \frac{m-1}{m} z \right)^m$$

and

$$Y(x) = z^k F_{mm+k} \left( \left( \frac{m-1}{m} z \right)^m \right)$$

in (16) then it becomes a well-known Fuchian type of differential equation with regular singularities at  $x=0$ ,  $1$ , and at infinity,

$$\left[ x^{m-1} (1-x) D^m + \sum_{r=1}^{m-1} x^{r-1} (A_r x - B_r) D^r + A_0 \right] Y(x) = 0. \quad (18)$$

On the other hand, for  $\theta = xD$ ,

$$Y(x) = {}_p F_q \left[ \begin{matrix} a_1, a_2, \dots, a_p; \\ b_1, b_2, \dots, b_q; \end{matrix} x \right], \quad (19)$$

is a solution of the differential equation [6, p. 136]

$$\left[ \theta \prod_{j=1}^{m-1} (\theta + b_j - 1) - x \prod_{i=1}^m (\theta + a_i) \right] Y(x) = 0. \quad (20)$$

If  $p = q + 1$ , (20) is of the form

$$\left[ x^q (1-x) D^p + \sum_{r=1}^q x^{r-1} (P_r x - Q_r) D^r + P_0 \right] Y(x) = 0. \quad (21)$$



Let  $p = m, q = m - 1$  in (21). We see that (18) has the same form as (21). Therefore, Faber polynomial  $F_n(z)$  can be represented in terms of hypergeometric function with parameters  $(m, m - 1)$ . By comparing (18), (20) and (21), we see that we have  $2m - 1$  equations with  $2m - 1$  unknowns. Therefore, coefficients  $a'_j$ 's and  $b'_j$ 's can be determined by solving the system of  $2m - 1$  equations. To determine the constant term of  $F_n(z)$ , we use our algebraic formula (5) to compute  $F_n(0)$ . From relations between  $Y(x)$  and  $F_n(z)$ , as well as  $z$  and  $x$ , we can implicitly write  $F_n(z)$  in terms of generalized hypergeometric functions.

We have now completed our proof.

### 5. ZERO DENSITY FUNCTION

The limiting behavior of the zeros of  $F_n(z)$  associated with  $H_m$  was determined in [5],

$$\lim_{n \rightarrow \infty} \frac{1}{n} \sum_{k=1}^n \frac{1}{z - z_{n,k}} = S(z),$$

where  $S(z)$  is the analytic continuation to  $\bar{C} \setminus S_m$  of the power series

$$S(z) = \sum_{k=0}^{\infty} \frac{(mk)!}{k!((m-1)k)! (m-1)^k} z^{-mk-1}, \quad |z| > \frac{m}{m-1}, \quad (22)$$

and

$$S_m = \left\{ x e^{2j\pi i/m}; 0 \leq x \leq \frac{m}{m-1}, j = 0, 1, \dots, m-1, m = 2, 3, \dots \right\}.$$

In this section we represent the limit function  $S(z)$  in terms of generalized hypergeometric function with parameters  $(m - 1, m - 2)$ .

**THEOREM 4.** *Let  $S(z)$  be the limit function of (22). Then*

$$S(z) = \frac{1}{z} {}_{m-1}F_{m-2} \left[ \begin{matrix} \frac{1}{m}, \frac{2}{m}, \dots, \frac{m-1}{m}; \\ \frac{1}{m-1}, \frac{2}{m-1}, \dots, \frac{m-2}{m-1}; \end{matrix} \left( \frac{m}{m-1} z^{-1} \right)^m \right]. \quad (23)$$

In particular, when  $m = 2$  we have

$$S(z) = \frac{1}{\sqrt{4 - z^2}}, \quad z \in [-2, 2],$$

which is the density function of zero distribution of Chebyshev polynomials of first kind.

*Proof.* Using the power series of  $S(z)$ , we derive a hypergeometric representation for  $S(z)$ . It follows from (22) that

$$\begin{aligned} S(z) &= \sum_{k=0}^{\infty} \frac{(mk)!}{k!((m-1)k)!(m-1)^k} z^{-mk-1} \\ &= \frac{1}{z} \sum_{k=0}^{\infty} \frac{(m^k k!) \left(m^k \left(\frac{1}{m}\right)_k\right) \cdots \left(m^k \left(\frac{m-1}{m}\right)_k\right) ((m-1)z)^{-mk}}{k!((m-1)^k k!) \left((m-1)^k \left(\frac{1}{m-1}\right)_k\right) \cdots \left((m-1)^k \left(\frac{m-2}{m-1}\right)_k\right)} \\ &= \frac{1}{z} \sum_{k=0}^{\infty} \frac{\left(\frac{1}{m}\right)_k \cdots \left(\frac{m-1}{m}\right)_k m^{mk}}{\left(\frac{1}{m-1}\right)_k \cdots \left(\frac{m-2}{m-1}\right)_k (m-1)^{mk} k!} z^{-mk} \\ &= \frac{1}{z} \sum_{k=0}^{\infty} \frac{\left(\frac{1}{m}\right)_k \cdots \left(\frac{m-1}{m}\right)_k}{\left(\frac{1}{m-1}\right)_k \cdots \left(\frac{m-2}{m-1}\right)_k k!} \left(\frac{m}{m-1} z\right)^{-mk} \\ &= \frac{1}{z} {}_{m-1}F_{m-2} \left[ \begin{matrix} \frac{1}{m}, \frac{2}{m}, \dots, \frac{m-1}{m}; \\ \frac{1}{m-1}, \frac{2}{m-1}, \dots, \frac{m-2}{m-1}; \end{matrix} \left(\frac{m}{m-1} z^{-1}\right)^m \right]. \end{aligned}$$

## REFERENCES

1. J. H. Curtiss, Correction to "Faber polynomials and the Faber series," *Amer. Math. Monthly* **79** (1972), 363.
2. M. Eiermann and R. S. Varga, Zeros and local extreme points of Faber polynomials associated with hypocycloidal domains, *Elec. Trans. Numer. Anal.* **1** (1993), 49–71.

3. A. Erdélyi, W. Magnus, F. Oberhettinger, and F. G. Tricomi, "Higher Transcendental Functions," based, in part, on notes left by Harry Bateman, Vol. II, McGraw-Hill, New York, 1953.
4. G. Faber, Über Polynomische Entwicklungen, *Math. Ann.* **57** (1903), 389–408.
5. M. X. He and E. B. Saff, The Zeros of Faber polynomials for an  $m$ -cusped hypocycloids, *J. Approx. Theory* **78** (1994), 410–432.
6. Y. L. Luke, "The Special Functions and Their Approximations," Vol. I, Academic Press, New York/San Francisco/London, 1969.